

# Algorithms

Lec#1

Fall2014

# The Course

- Course Goal: a rigorous introduction to the design and analysis of algorithms
  - Not a lab or programming course
  - Not a math course, either
- Textbook: *Introduction to Algorithms*, Cormen, Leiserson, Rivest, Stein
  - An excellent reference you should own

# The Course

- Grading policy:

- Homework & Quizzes: 15%
- Exam 1: Sep, 25<sup>th</sup> 3:45-5:00 pm 25%
- Exam 2: Oct 30<sup>th</sup> 3:45-5:00 pm 25%
- Final: Dec 12<sup>th</sup> 7:45-10:00 am 35%

# The Course

- Format
  - Two lectures/week
  - Homework most weeks
    - Problem sets
    - Maybe occasional programming assignments
  - Two tests + final exam

# Algorithms

**Algorithm:** give a language for talking about program behavior.

- a set of step by step instructions a program follows to do certain task.

# Example 1

How to get to work in the morning

- Different ways with same start and end

## **Algorithm 1: walking**

1. Walk out the front door and lock it.
2. Walk 3 miles.
3. Enter the department building.

# Example 2

## **Algorithm 2: Bicycle**

1. Walk out front door and lock it
2. Unlock bicycle , put on helmet.
3. Ride bicycle for 3 miles.
4. Lock up bicycle, take off helmet.
5. Enter department building.

# Example 3

## **Algorithm 3: bus**

1. Walk out front door and lock it.
2. Walk half a mile to the bus stop.
3. Ride the bus.
4. Walk to the office.
5. Enter office building.



# Example 4

## **Algorithm 4: Taxi**

1. Call taxi company.
2. Walk out front door and lock it.
3. Ride the taxi for miles.
4. Enter the department building.

# Compare Algorithms

**Walking**

**free**

**Bicycle**

**cheap**

**Bus**

**cheap**

**Taxi**

**expensive**

# Compare Algorithms...

**Walking**

**slow**

**Bicycle**

**Medium**

**Bus**

**Medium**

**Taxi**

**fast**

# Analysis of Algorithms

**Analysis of Algorithms**: is the theoretical study of computer program performance and resource usage.

- Study how to make things fast.
- In programming ...What is more important than performance?
  1. Correctness
  2. Simplicity
  3. Maintainability
  4. Robustness of the software
  5. Security...etc.

# Asymptotic Performance

- In this course, we care most about *asymptotic performance*
  - How does the algorithm behave as the problem size gets very large?
    - Running time
    - Memory/storage requirements
    - Bandwidth/power requirements/logic gates/etc.

# Running Time

- Number of primitive steps that are executed
  - Except for time of executing a function call most statements roughly require the same amount of time
  - We can be more exact if need be
- Worst case vs. average case
  - ( best case is ....)

# Insertion Sort

Statement		Effort
<b>InsertionSort(A, n) {</b>		
<b>for i = 2 to n {</b>	$c_1n$	
<b>key = A[i]</b>		$c_2(n-1)$
<b>j = i - 1;</b>		$c_3(n-1)$
<b>while (j &gt; 0) and (A[j] &gt; key) {</b>		$c_4T$
<b>A[j+1] = A[j]</b>		$c_5(T-(n-1))$
<b>j = j - 1</b>		$c_6(T-(n-1))$
<b>}</b>		0
<b>A[j+1] = key</b>	$c_7(n-1)$	
<b>}</b>		0
<b>}</b>		

$T = t_2 + t_3 + \dots + t_n$  where  $t_i$  is number of while expression evaluations for the  $i^{\text{th}}$  for loop iteration

# Analyzing Insertion Sort

- $T(n) = c_1n + c_2(n-1) + c_3(n-1) + c_4T + c_5(T - (n-1)) + c_6(T - (n-1)) + c_7(n-1)$   
 $= c_8T + c_9n + c_{10}$
- What can T be?
  - Best case -- inner loop body never executed
    - $t_i = 1 \rightarrow T(n)$  is a linear function
  - Worst case -- inner loop body executed for all previous elements
    - $t_i = i \rightarrow T(n)$  is a quadratic function
  - Average case
    - ???



# Analysis

- Simplifications

- Ignore actual and abstract statement costs
- *Order of growth* is the interesting measure:
  - Highest-order term is what counts
    - Remember, we are doing asymptotic analysis
    - As the input size grows larger it is the high order term that dominates

# Upper Bound Notation

- We say InsertionSort's run time is  $O(n^2)$ 
  - Properly we should say run time is *in*  $O(n^2)$
  - Read O as "Big-O" (you'll also hear it as "order")
- In general a function
  - $f(n)$  is  $O(g(n))$  if there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$
- Formally
  - $O(g(n)) = \{ f(n) : \exists \text{ positive constants } c \text{ and } n_0 \text{ such that } f(n) \leq c \cdot g(n) \forall n \geq n_0$

# Insertion Sort Is $O(n^2)$

- Proof

- Suppose runtime is  $an^2 + bn + c$ 
  - If any of  $a$ ,  $b$ , and  $c$  are less than 0 replace the constant with its absolute value
- $an^2 + bn + c \leq (a + b + c)n^2 + (a + b + c)n + (a + b + c)$
- $\leq 3(a + b + c)n^2$  for  $n \geq 1$
- Let  $c' = 3(a + b + c)$  and let  $n_0 = 1$

- Question

- Is InsertionSort  $O(n^3)$ ?
- Is InsertionSort  $O(n)$ ?

# Big O Fact

- A polynomial of degree  $k$  is  $O(n^k)$
- Proof:
  - Suppose  $f(n) = b_k n^k + b_{k-1} n^{k-1} + \dots + b_1 n + b_0$ 
    - Let  $a_i = |b_i|$
  - $f(n) \leq a_k n^k + a_{k-1} n^{k-1} + \dots + a_1 n + a_0$

$$\leq n^k \sum a_i \frac{n^i}{n^k} \leq n^k \sum a_i \leq cn^k$$

# Lower Bound Notation

- We say InsertionSort's run time is  $\Omega(n)$
- In general a function
  - $f(n)$  is  $\Omega(g(n))$  if  $\exists$  positive constants  $c$  and  $n_0$  such that  $0 \leq c \cdot g(n) \leq f(n) \quad \forall n \geq n_0$
- Proof:
  - Suppose run time is  $an + b$ 
    - Assume  $a$  and  $b$  are positive (what if  $b$  is negative?)
  - $an \leq an + b$

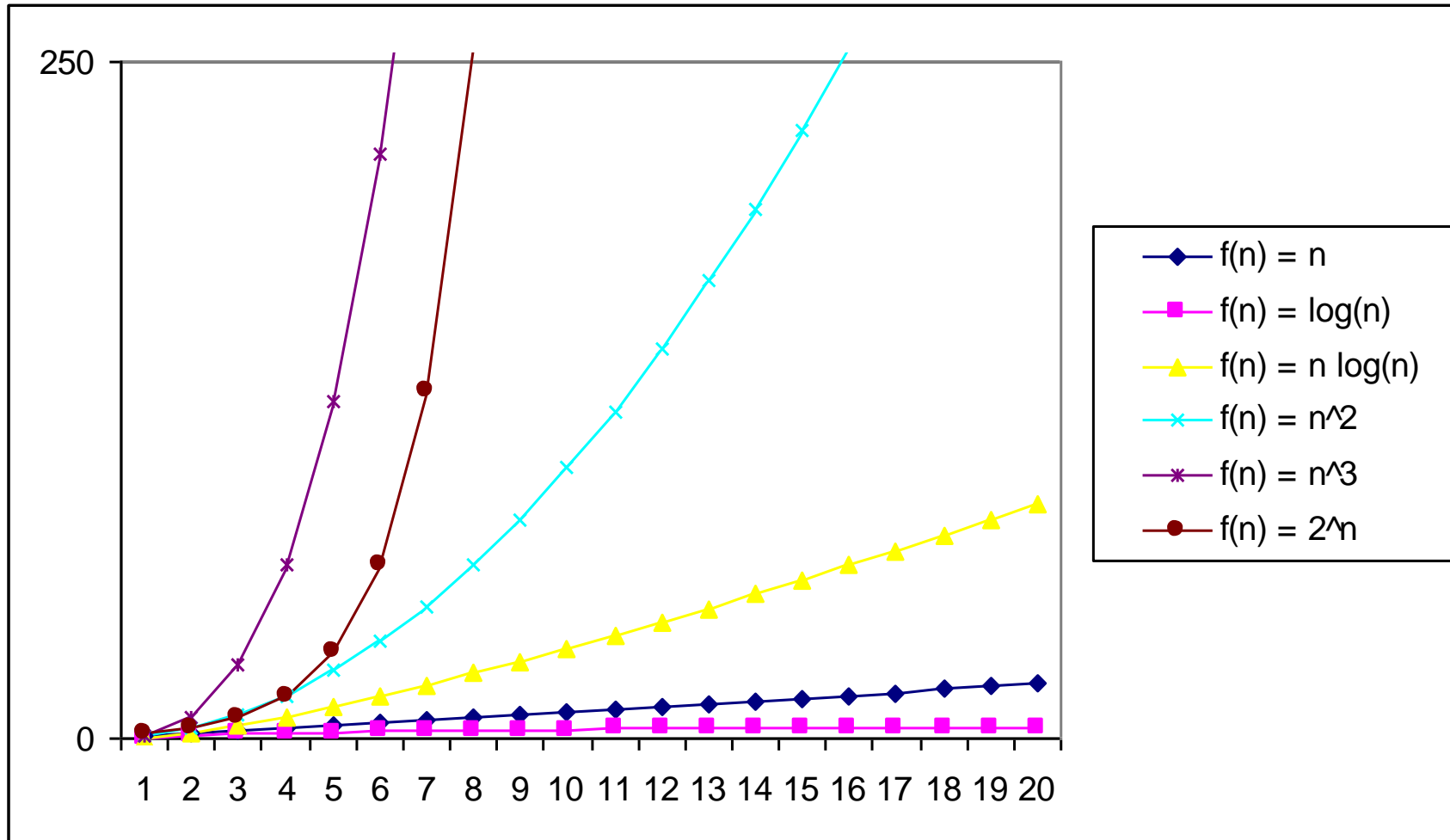
# Asymptotic Tight Bound

- A function  $f(n)$  is  $\Theta(g(n))$  if  $\exists$  positive constants  $c_1$ ,  $c_2$ , and  $n_0$  such that

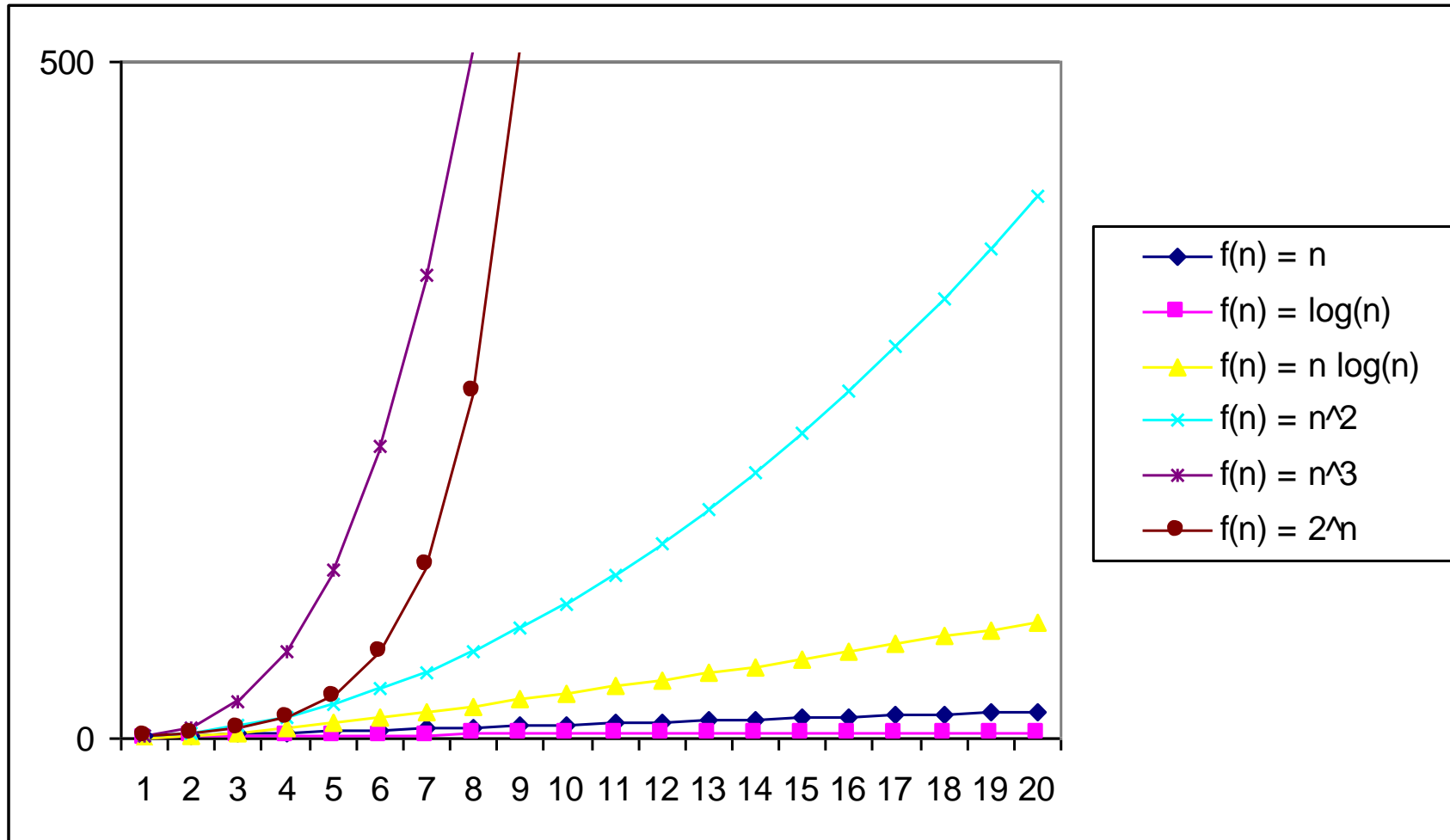
$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \forall n \geq n_0$$

- Theorem
  - $f(n)$  is  $\Theta(g(n))$  iff  $f(n)$  is both  $O(g(n))$  and  $\Omega(g(n))$
  - Proof: someday

# Practical Complexity

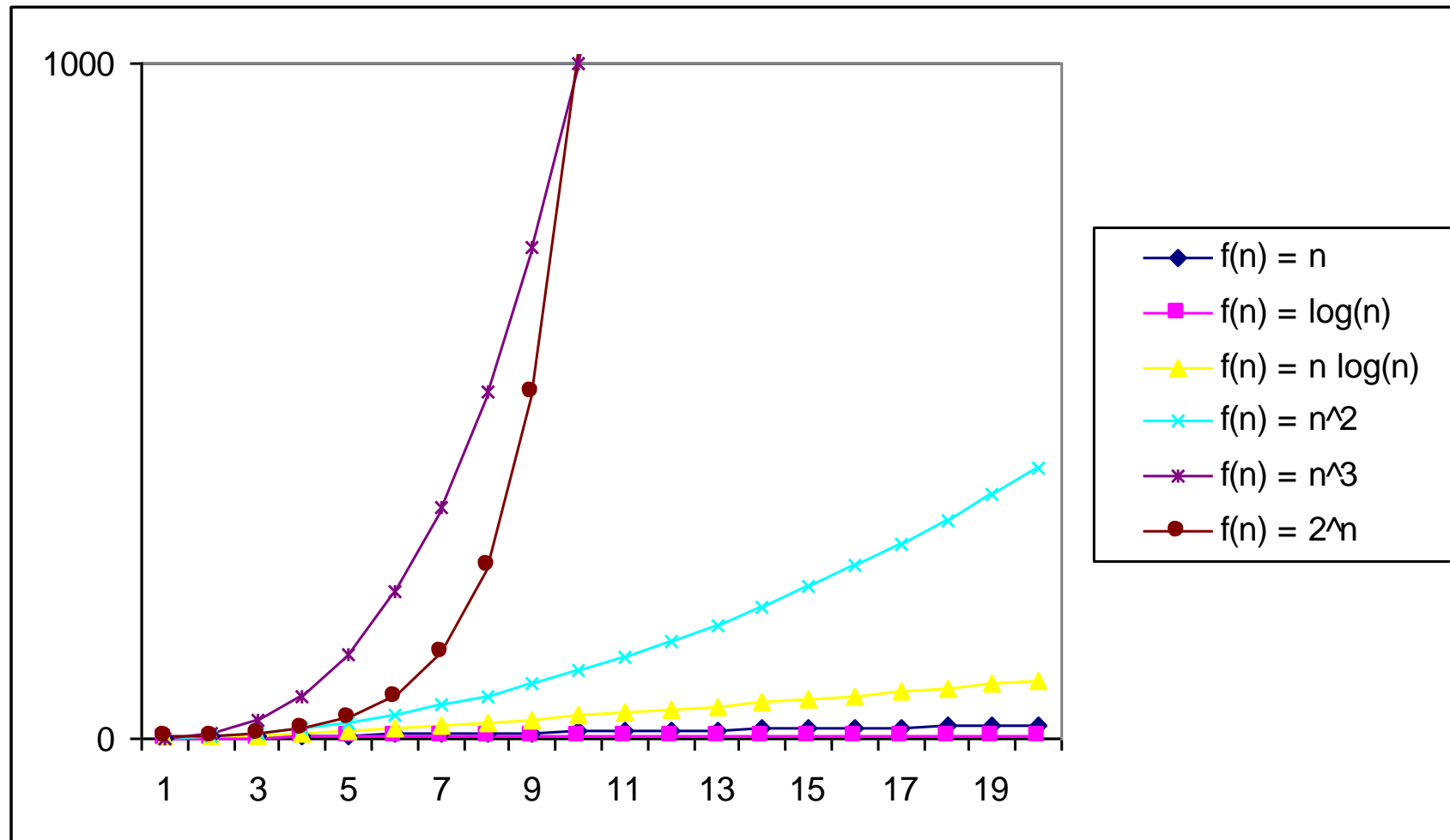


# Practical Complexity

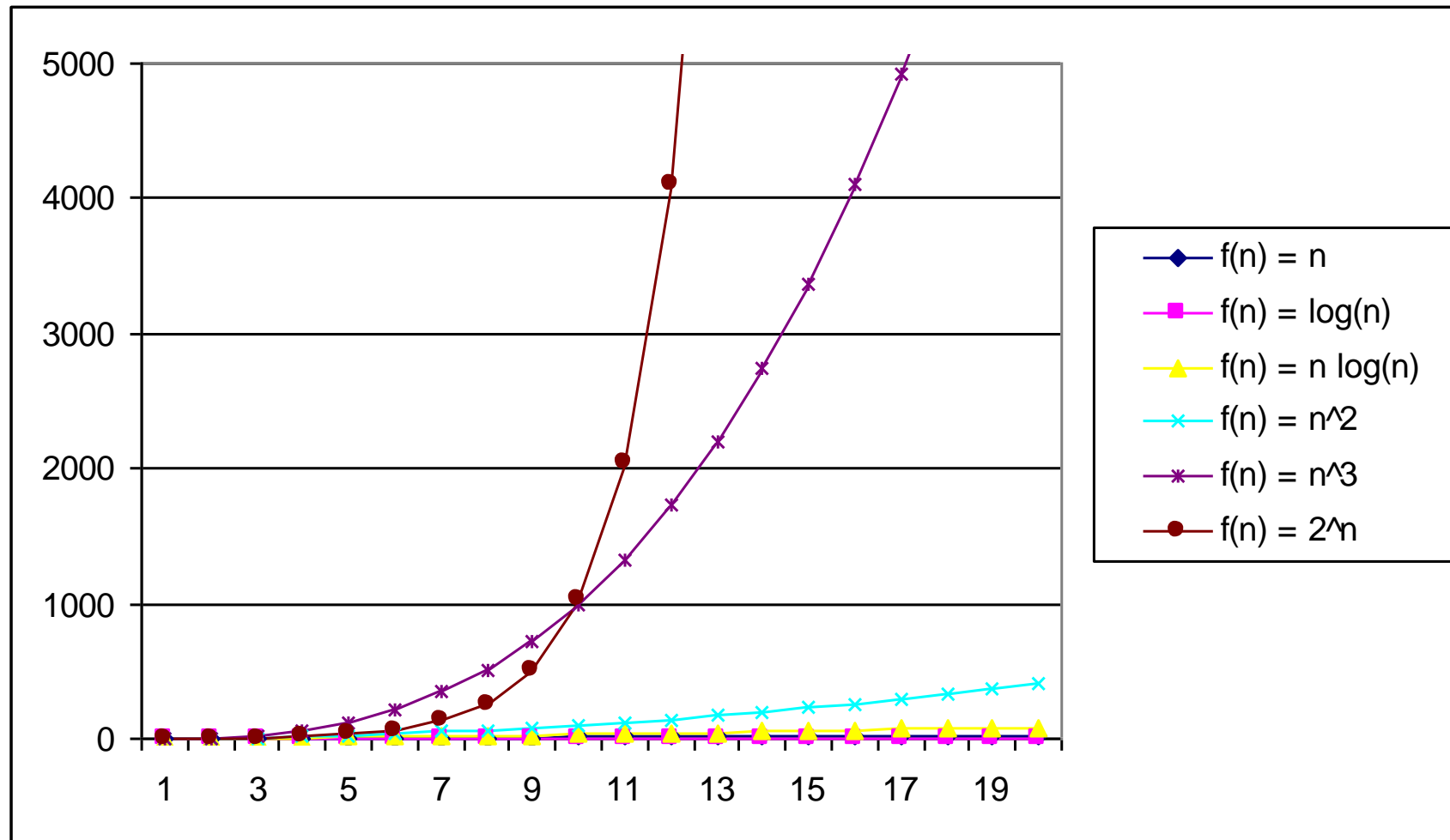




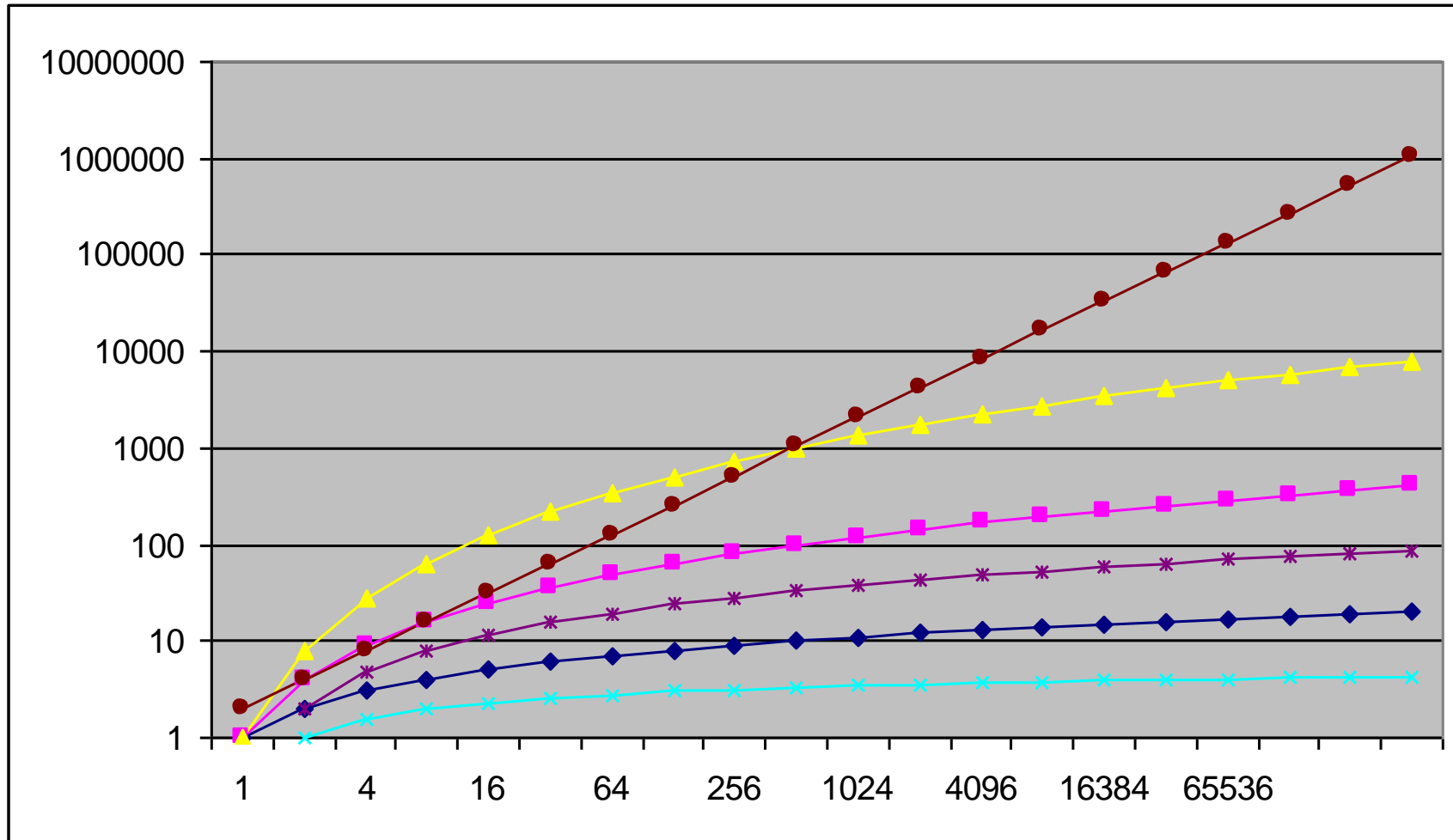
# Practical Complexity



# Practical Complexity



# Practical Complexity



# Other Asymptotic Notations

- A function  $f(n)$  is  $o(g(n))$  if  $\exists$  positive constants  $c$  and  $n_0$  such that
$$f(n) < c g(n) \quad \forall n \geq n_0$$
- A function  $f(n)$  is  $\omega(g(n))$  if  $\exists$  positive constants  $c$  and  $n_0$  such that
$$c g(n) < f(n) \quad \forall n \geq n_0$$
- Intuitively,

▪  $o()$  is like  $<$

▪  $\omega()$  is like  $>$

▪  $\Theta()$  is like  $=$

▪  $O()$  is like  $\leq$

▪  $\Omega()$  is like  $\geq$

# Up Next

- Solving recurrences
  - Substitution method
  - Master theorem